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U.S. DEPARTMENT OF COMMERCE PATENT AND TRADEMARK OFFICE

ATTORNEY'S DOCKET NUMBER

 TRANSMITTAL LETTER TO THE UNITED STATES
 DESIGNATED/ELECTED OFFICE (DO/EO/US)
 CONCERNING A FILING UNDER 35 U.S.C. 371

13259-00011

U.S. APPLICATION NO. (If known, see 37 CFR 1.5

09/763329

INTERNATIONAL APPLICATION NO.

INTERNATIONAL FILING DATE

PRIORITY DATE CLAIMED

PCT/US99/20308

25 August 1999 (25.08.99)

27 August 1998 (27.08.98)

TITLE OF INVENTION Compositions and Methods for Producing High-Level Seed-Specific Gene Expression in Corn

APPLICANT(S) FOR DO/EO/US MESSING, Joachim, et al.

Applicant herewith submits to the United States Designated/Elected Office (DO/EO/US) the following items and other information:

1. ☒ This is a **FIRST** submission of items concerning a filing under 35 U.S.C. 371.
2. ☐ This is a **SECOND or SUBSEQUENT** submission of items concerning a filing under 35 U.S.C. 371.
3. ☒ This is an express request to begin national examination procedures (35 U.S.C. 371(f)). The submission must include items (5), (6), (9) and (21) indicated below.
4. ☐ The US has been elected by the expiration of 19 months from the priority date (Article 31).
5. ☒ A copy of the International Application as filed (35 U.S.C. 371(c)(2))
 - a. ☐ is attached hereto (required only if not communicated by the International Bureau).
 - b. ☐ has been communicated by the International Bureau.
 - c. ☒ is not required, as the application was filed in the United States Receiving Office (RO/US).
- ☐ An English language translation of the International Application as filed (35 U.S.C. 371(c)(2)).
 - a. ☐ is attached hereto.
 - b. ☐ has been previously submitted under 35 U.S.C. 154(d)(4).
- ☒ Amendments to the claims of the International Application under PCT Article 19 (35 U.S.C. 371(c)(3))
 - a. ☐ are attached hereto (required only if not communicated by the International Bureau).
 - b. ☐ have been communicated by the International Bureau.
 - c. ☐ have not been made; however, the time limit for making such amendments has NOT expired.
 - d. ☒ have not been made and will not be made.
- ☐ An English language translation of the amendments to the claims under PCT Article 19 (35 U.S.C. 371(c)(3)).
- ☐ An oath or declaration of the inventor(s) (35 U.S.C. 371(c)(4)).
- ☐ An English language translation of the annexes of the International Preliminary Examination Report under PCT Article 36 (35 U.S.C. 371(c)(5)).

Items 11 to 20 below concern document(s) or information included:

11. ☐ An Information Disclosure Statement under 37 CFR 1.97 and 1.98.
12. ☐ An assignment document for recording. A separate cover sheet in compliance with 37 CFR 3.28 and 3.31 is included.
13. ☐ A FIRST preliminary amendment.
14. ☐ A SECOND or SUBSEQUENT preliminary amendment.
15. ☐ A substitute specification.
16. ☐ A change of power of attorney and/or address letter.
17. ☐ A computer-readable form of the sequence listing in accordance with PCT Rule 13ter.2 and 35 U.S.C. 1.821 - 1.825.
18. ☐ A second copy of the published international application under 35 U.S.C. 154(d)(4).
19. ☐ A second copy of the English language translation of the international application under 35 U.S.C. 154(d)(4).
20. ☐ Other items or information:

U.S. APPLICATION NO. (if known) 37 CFR 1.51

INTERNATIONAL APPLICATION NO.

ATTORNEY'S DOCKET NUMBER

09/763329

PCT/US99/20308

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21. ☒ The following fees are submitted:

CALCULATIONS PTO USE ONLY

BASIC NATIONAL FEE (37 CFR 1.492 (a) (1) - (5)):

Neither international preliminary examination fee (37 CFR 1.482)
nor international search fee (37 CFR 1.445(a)(2)) paid to USPTO
and International Search Report not prepared by the EPO or JPO \$1000.00

International preliminary examination fee (37 CFR 1.482) not paid to
USPTO but International Search Report prepared by the EPO or JPO \$860.00

International preliminary examination fee (37 CFR 1.482) not paid to USPTO
but international search fee (37 CFR 1.445(a)(2)) paid to USPTO \$710.00

International preliminary examination fee (37 CFR 1.482) paid to USPTO
but all claims did not satisfy provisions of PCT Article 33(1)-(4) \$690.00

International preliminary examination fee (37 CFR 1.482) paid to USPTO
and all claims satisfied provisions of PCT Article 33(1)-(4) \$100.00

ENTER APPROPRIATE BASIC FEE AMOUNT =

\$ 100.00

Surcharge of \$130.00 for furnishing the oath or declaration later than ☐ 20 ☐ 30
months from the earliest claimed priority date (37 CFR 1.492(e)).

\$ 0.00

CLAIMS	NUMBER FILED	NUMBER EXTRA	RATE	\$
Total claims	21 -20 =	1	x \$18.00	\$ 18.00
Independent claims	3 -3 =	0	x \$80.00	\$ 0.00

MULTIPLE DEPENDENT CLAIM(S) (if applicable) + \$270.00

\$ 0.00

TOTAL OF ABOVE CALCULATIONS =

\$ 118.00

☒ Applicant claims small entity status. See 37 CFR 1.27. The fees indicated above
are reduced by 1/2.

\$ 59.00

SUBTOTAL =

\$ 59.00

Processing fee of \$130.00 for furnishing the English translation later than ☐ 20 ☐ 30
months from the earliest claimed priority date (37 CFR 1.492(f)).

\$

TOTAL NATIONAL FEE =

\$

Fee for recording the enclosed assignment (37 CFR 1.21(h)). The assignment must be
accompanied by an appropriate cover sheet (37 CFR 3.28, 3.31). \$40.00 per property +

\$ 0.00

TOTAL FEES ENCLOSED =

\$ 59.00

Amount to be

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- a. ☒ A check in the amount of \$ 59.00 to cover the above fees is enclosed.
- b. ☐ Please charge my Deposit Account No. _____ in the amount of \$ _____ to cover the above fees.
A duplicate copy of this sheet is enclosed.
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overpayment to Deposit Account No. 50-1089. A duplicate copy of this sheet is enclosed.
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information should not be included on this form.** Provide credit card information and authorization on PTO-2038.

**NOTE: Where an appropriate time limit under 37 CFR 1.494 or 1.495 has not been met, a petition to revive (37 CFR
1.137 (a) or (b)) must be filed and granted to restore the application to pending status.**

SEND ALL CORRESPONDENCE TO:

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36,252

REGISTRATION NUMBER

09/763329

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COMPOSITIONS AND METHODS FOR PRODUCING HIGH-LEVEL
SEED-SPECIFIC GENE EXPRESSION IN CORN

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10 **FIELD OF THE INVENTION**

This invention relates to agricultural molecular biology to improve the nutritional quality of maize and other cereal crops. In particular, this invention provides a consistently highly expressed zein gene that produces a high methionine seed storage protein.

BACKGROUND OF THE INVENTION

Various scientific and scholarly articles are referred to in parentheses throughout the specification, with full citations appearing at the end of the specification. These articles are incorporated by reference herein to describe the state of the art to which this invention pertains.

25 The major seed storage proteins of maize are referred to as zeins. In normal maize genotypes, zeins constitute 50-60% of the total endosperm protein at maturity. Zeins are a heterologous group of proteins that can be classified by sequence homology and size
30 (reviewed in Ueda, T. and Messing, J., 1993). The α

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zeins are the largest subgroup (zein-1), encoded by about 65 genes, and soluble in ethanol under nonreducing conditions. Most of these have a molecular weight of 19 kDa, with one subfamily having a molecular weight of 22 kDa. The other subgroup (zein-2) consists of the β , γ and δ zeins that are soluble in ethanol under reducing conditions. They differ in amino acid composition and sequence homologies.

One β zein gene encoding a 15 kDa zein has been cloned. This zein was found to encode a protein with a moderate level of methionine (11%). Two cloned γ zeins of 16 and 27 kDa molecular weight were found to be very high in proline. Two δ zeins have been cloned, encoding 10- and 18- kDa proteins rich in methionine (22%, Anderson Kirihara et al., 1988, and 28%, Swarup et al., 1995).

As determined from genetic studies, zeins are regulated at the transcriptional and post-transcriptional level. Differences in regulation occur in a subfamily-specific manner. For instance, *opaque-2* (*o2*) variants prevent the transcriptional activation of 22-kDa α zein genes. Also, as described in more detail below, the *dzr1* locus regulates the accumulation of 10-kDa δ zein mRNA (Cruz-Alvarez et al., 1991; Schickler et al., 1993).

Like many cereal storage proteins, zeins are deficient in lysine, tryptophan and methionine. For this reason, corn meals used in animal feeds (particularly for monogastric livestock such as poultry) are supplemented with legume (mainly soy) meals to increase the levels of lysine. However, the corn-legume mixture is still deficient in methionine, and processed methionine is often added as a supplement to this mixture. Similarly, it is likely that the methionine level is limited in

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cereal-legume mixtures, comprising human diets in many third-world communities. Supplementation of cereal-legume mixtures with processed methionine is costly (estimated at about one billion dollars for the U.S. feed business), and in many instances, infeasible. Improving the amino acid composition of maize and other cereals to include more lysine, tryptophan and methionine is therefore an important agronomic objective. Even modest increases in one or more of these amino acids, particularly methionine, in maize and other cereals could lead to a reduced need for processed methionine supplements or added soybean meal.

One approach to producing animal feed with an increased methionine content is to genetically engineer the feed plant (e.g., maize or soybeans) to produce or retain more methionine. Since composition and differential accumulation of various storage proteins, rather than amino acid biosynthesis, is the limiting step, it is the seed proteins themselves that must be engineered, either for altered composition or for enhanced expression.

Using natural variation and crosses of variant inbred lines, Messing and Fisher (1991) produced a maize hybrid, BSSS53xMo17 having a five-fold enrichment of methionine in the prolamin fraction, as compared to the reciprocal hybrid Mo17xBSSS53 with Mo17 as the female parent, sufficient to replace the processed methionine supplement in a soybean-corn diet. When such an enriched diet was tested in a two-week feeding trial of one-day-old chicks, the high methionine maize was demonstrated as a nutritious protein source. The increase in methionine in these hybrid seeds was the result of increased expression of the 10-kDa δ zein gene.

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In spite of the successful production of the high methionine BSSS53xMo17 maize hybrid, most hybrids, like the reciprocal cross of Mo17xBSSS53, exhibit an inhibition of the overexpression of the 10-kDa zein gene, by a heretofore unknown mechanism, thereby preventing the use of the high methionine (HM) phenotype for animal feed. Genetic analysis has revealed three single loci related to the HM phenotype (Benner et al, 1989; Swarup et al., 1995): (1) the 10-kDa δ zein locus (*dzs 10*, delta zein structural gene 10) on the long arm of chromosome 9, the 18-kDa δ zein locus (*dzs 18*) on the long arm of chromosome 6, and the *dzr1* (delta zein regulatory gene 1) formerly called *Zpr10/22*, on the short arm of chromosome 4. Certain alleles of *dzr1* provided the first example in which zein gene expression is controlled by parental imprinting (Chaudhuri and Messing, 1994).

Transcriptional run-on experiments indicate that the lower level of expression of the 10-kDa zein gene in Mo17, as compared to BSSS53, is due to mRNA accumulation rather than transcription (Schickler et al., 1993). This differential expression was found to be due to different alleles of *drz1* (Chaudhuri and Messing, 1994). Moreover, heteroallelic combinations of these two alleles result in reduced 10-kDa mRNA levels, indicating that the *drz1*+Mo17 allele is a negative dominant allele. The result of the presence of this negative dominant allele in Mo17 or any other inbred variety bearing the allele is that hybrids generated therefrom will have reduced expression of the 10-kDa zein gene, even if the other parent overexpresses the gene, either naturally or by genetic engineering.

As an example, U.S. Patent No. 5,508,468 to Lundquist et al. discloses a fertile hybrid transgenic

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maize plant regenerated from immature embryos of a cross between A188 and B73, transformed with a chimeric 10-kDa zein gene controlled by the promoter for a 27-kDa zein gene. If such a plant is crossed with Mo17 or any other variety carrying the dominant negative allele of *dzr1*, any overexpression of the 10-kDa zein transgene (or native gene) that might be seen in the parent will be reduced or lost in the progeny, due to the presence of the dominant negative *dzr1* allele.

Clearly, the presence of the negative dominant *dzr1* allele is detrimental to the use of a 10-kDa zein gene for increasing methionine content in maize or any other plant. It would be of agronomic and economic significance, then, to identify the mechanism(s) by which the negative allele functions, and to devise methods and biological molecules to circumvent or alleviate such function. On the other hand, circumstances can be envisioned by which the negative function is desirable. Certain gene products that are highly expressed throughout plant development should be specifically reduced during seed maturation and therefore prevented from entering the food chain. Such an example might be the *Bacillus thuringiensis* insecticidal protein, which is needed for insect damage protection but not in the seed flour.

SUMMARY OF THE INVENTION

According to one aspect of the present invention, a DNA construct is provided that encodes a δ -zein which is expressed regardless of the presence of a dominant negative *dzr1* allele in the genome. The construct comprises a δ -zein coding sequence operably linked to a promoter and to a sequence encoding a

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modified 3' untranslated region (UTR), the 3' UTR being modified so as to be devoid of binding sites for a dzr1 negative regulatory protein. In a preferred embodiment, the modified 3' UTR is produced by replacing the sequence
5 encoding the dzr1 binding site-containing 3'UTR with a heterologous sequence encoding a 3' UTR devoid of those binding sites (e.g., the 3' UTR-encoding sequence from a CaMV 35S gene). In another embodiment, the modified 3' UTR is produced by site-directed mutagenesis of sequences
10 encoding the binding sites, so as to destroy the binding sites without affecting the other regulatory functions of the 3' UTR.

In preferred embodiments, the DNA construct contains a coding region encoding a δ -zein selected from
15 the group consisting of a 10 kDa zein and an 18 kDa zein. The promoter preferably is a seed-specific promoter, and may be selected from the group consisting of a 27 kDa zein gene promoter, a 27 kDa (O2) zein gene promoter, a 10 kDa zein gene promoter and an 18 kDa zein gene
20 promoter.

According to another aspect of the invention, a vector for transforming a plant cell is provided, which comprises the DNA construct described above. A plant cell transformed with that vector, and a fertile,
25 transgenic plant regenerated from the transformed cell are also provided.

According to another aspect of the invention, a chimeric gene encoding a 10 kDa zein is provided. The chimeric gene comprises a 10 kDa zein coding region
30 operably linked at its 5' end to a promoter, and to its 3' end to a heterologous 3' UTR. Preferably, the promoter is selected from the group consisting of a 27 kDa zein gene promoter, a 27 kDa (O2) zein gene promoter,

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a 10 kDa zein gene promoter and an 18 kDa zein gene promoter. Most preferably, the chimeric gene comprises a 10 kDa zein coding region operably linked to a 27 kDa zein gene promoter (or a 27 kDa (O2) promoter) and a CaMV 35S gene 3' UTR. A vector comprising such a chimeric gene is exemplified herein by plasmid pJM2710. A fertile transgenic corn plant which expresses this chimeric gene is also provided.

According to another aspect of the present invention, a method of making high methionine corn seeds is provided. The method comprises the steps of (a) producing a fertile transgenic corn plant expressing the DNA constructs or chimeric genes described above; (b) growing the plant; and (c) harvesting seeds from the plant. Because such plants consistently express the 10 kDa zein, which has a high methionine content, seeds produced therefrom will be consistently enriched in methionine, as compared with equivalent non-transgenic plants, or as compared with transgenic plants expressing a δ -zein that is negatively regulated by the dzr1 regulatory protein. Moreover, the 10 kDa zein produced in such plants appears to be stabilized in protein bodies.

According to another aspect of the invention, an isolated nucleic acid comprising a 3' untranslated region of a 10-kDa zein gene is provided, along with the use of the nucleic acid for dzr1-mediated negative regulation of a coding sequence to which it is operably linked. Preferably, the 3' UTR comprises SEQ ID NO:1.

In another preferred embodiment, the coding region codes for a gene product that is undesirable in the seeds (e.g., Bt insecticidal protein).

Other features and advantages of the present invention will be understood from the drawings, detailed description and examples that follow.

5 BRIEF DESCRIPTION OF THE DRAWINGS

Figure 1. Schematic diagram showing a proposed mechanism by which *dzr1* could function in an allele-specific manner. *dzr1*+BSSS53 refers to the *dzr1* allele carried on the HM phenotype variety BSSS53; *dzr1*+Mol17 refers to the negative dominant *dzr1* allele carried on variety Mol17.

Figure 2. Construction of plasmids pJM2710 and pJM2710(O2). The chimeric gene comprises the 5' regulatory sequences of the 27-kDa zein gene (Z27 promoter or Z27 (O2) promoter), the coding region of the 10-kDa zein gene (Z10 coding) and the 3' untranslated region of the CaMV 35S gene (35S poly A). The chimeric gene is inserted into plasmid pUC119.

Figure 3. Southern blot analysis of transgenic maize plants. Genomic DNA was isolated from leaf tissue and subjected to Southern blot analysis as described in Example 3. Lanes 1-5 represent samples of Basta-resistant plants; lanes 6 and 7 represent the non-transgenic parents; lane 8 is a size marker with a 1.6 kb band and lane 9 shows the restricted plasmid DNA prior to transformation. All transgenic plants show the diagnostic 1.4 kb fragment derived from the bar gene.

Figure 4. Northern blot of RNA isolated from immature endosperm of parental and transgenic plant lines. Lane 1, BSSS53 18 days after pollination (DAP); lane 2, Mol17 (18 DAP); lane 3, transgenic line (18 DAP); lane 4, BSSS53 X transgenic line (18 DAP); lane 5, Mol17 X transgenic line (15 DAP); lane 6, Mol17 X transgenic line

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(18 DAP); lane 7, Mo17 X transgenic line (21 DAP); lane 8, Mo17 X transgenic line (24 DAP); lane 9, Mo17 X BSSS53 (15 DAP); lane 10, Mo17 X BSSS53 (18 DAP); lane 11, Mo17 X BSSS53 (21 DAP); lane 12, Mo17 X BSSS53 (24 DAP) but degraded.

Figure 5. Western blot showing accumulation of 10-kDa zein in transgenic and parental maize lines. After regeneration of fertile transgenic plants, they were selfed and grown to maturity. Zeins were extracted from seeds following standard protocols, separated by SDS PAGE, blotted to a filter and analyzed with a zein antibody as described previously (Chaudhuri & Messing, 1994). Samples were loaded in the following order: lane 1, transgenic parent; lane 2, Mo17; lane 3, BSSS53; lane 4, Mo17 X BSSS53; lane 5, BSSS53 X Mo17; lane 6, Mo17 X transgenic line; lane 7, transgenic line X Mo17.

Figure 6. Histogram showing transcription rates of 10-kDa zein gene driven by 27-kDa (Construct #1) or 27-kDa (O2) (Construct #2) promoter. Grey bars = selfed transgenic plant using construct #2; black bars = Mo17 X transgenic, using Construct #2; white bars = Mo17 X transgenic, using Construct #1. Labeled RNA was hybridized to one of three probes, corresponding to (a) the endogenous 10-kDa zein gene (int 10-kDa), (b) the chimeric 10-kDa zein gene 3'UTR transgene (ext 10-kDa) or (c) as a control, the 15-kDa zein gene (15-kDa).

Figure 7. Western blot showing accumulation of 10-kDa zein in parental and transgenic plants. Lane 1, A654 harboring a null mutation of the internal 10-kDa zein gene; lane 2, Mo17; lane 3, BSSS53; lane 4, hybrid parental line used for the transformation; lanes 5 and 6, transgenic plants transformed with a gene controlled by construct #1; lanes 7-9, transgenic plants transformed

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with a gene controlled by construct #2.

Figure 8. Histogram showing results of a feeding trial of infant chickens. Groups of chicks were fed (1) inbred corn without methionine supplement (control); or (2) inbred corn with methionine supplement (black bars); or (3) transgenic corn (white bars). Results are expressed as percent weight gain of the test groups (2 and 3) over the control group.

10 DETAILED DESCRIPTION OF THE INVENTION

I. Definitions

With reference to nucleic acids, the term "isolated nucleic acid" is sometimes used. This term, when applied to DNA, refers to a DNA molecule that is separated from sequences with which it is immediately contiguous (in the 5' and 3' directions) in the naturally occurring genome of the organism from which it was derived. For example, the "isolated nucleic acid" may comprise a DNA molecule inserted into a vector, such as a plasmid or virus vector, or integrated into the genomic DNA of a prokaryote or eukaryote. An "isolated nucleic acid molecule" may also comprise a cDNA molecule.

With respect to RNA molecules, the term "isolated nucleic acid" primarily refers to an RNA molecule encoded by an isolated DNA molecule as defined above. Alternatively, the term may refer to an RNA molecule that has been sufficiently separated from RNA molecules with which it would be associated in its natural state (i.e., in cells or tissues), such that it exists in a "substantially pure" form (the term "substantially pure" is defined below).

With respect to protein, the term "isolated protein" or "isolated and purified protein" is sometimes

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used herein. This term refers primarily to a protein produced by expression of an isolated nucleic acid molecule of the invention. Alternatively, this term may refer to a protein which has been sufficiently separated
5 from other proteins with which it would naturally be associated, so as to exist in "substantially pure" form.

The term "substantially pure" refers to a preparation comprising at least 50-60% by weight the compound of interest (e.g., nucleic acid,
10 oligonucleotide, protein, etc.). More preferably, the preparation comprises at least 75% by weight, and most preferably 90-99% by weight, the compound of interest. Purity is measured by methods appropriate for the compound of interest (e.g. chromatographic methods,
15 agarose or polyacrylamide gel electrophoresis, HPLC analysis, and the like).

With respect to antibodies, the term "immunologically specific" refers to antibodies that bind to one or more epitopes of a protein of interest, but
20 which do not substantially recognize and bind other molecules in a sample containing a mixed population of antigenic molecules.

With respect to oligonucleotides, but not limited thereto, the term "specifically hybridizing"
25 refers to the association between two single-stranded nucleotide molecules of sufficiently complementary sequence to permit such hybridization under pre-determined conditions generally used in the art (sometimes termed "substantially complementary"). In
30 particular, the term refers to hybridization of an oligonucleotide with a substantially complementary sequence contained within a single-stranded DNA or RNA molecule of the invention, to the substantial exclusion

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of hybridization of the oligonucleotide with single-stranded nucleic acids of non-complementary sequence.

The term "promoter region" refers to the 5' regulatory regions of a gene, including promoters, leader sequences and, optionally, enhancers. This term is used interchangeably with the term "5' regulatory region."

The term "3'UTR" or "3' untranslated region" refers to the transcribed portion of a gene following the stop codon. The term "heterologous 3' UTR" refers to a 3' UTR from a source other than the 3' UTR that occurs naturally in a gene. For example, in a preferred embodiment of the present invention, the naturally occurring 3' UTR of the 10 kDa zein gene is replaced with the 3' UTR from the CaMV 35S gene.

The term "reporter gene" refers to genetic sequences which may be operably linked to a promoter region forming a transgene, such that expression of the reporter gene coding region is regulated by the promoter and expression of the transgene is readily assayed.

The term "selectable marker gene" refers to a gene product that when expressed confers a selectable phenotype, such as antibiotic resistance, on a transformed cell or plant.

The term "operably linked" means that the regulatory sequences necessary for expression of the coding sequence are placed in the DNA molecule in the appropriate positions relative to the coding sequence so as to effect expression of the coding sequence. This same definition is sometimes applied to the arrangement of coding sequences and transcription control elements (e.g. promoters, enhancers, and termination elements) in an expression vector.

The term "DNA construct" refers to genetic

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sequence used to transform plants and generate progeny transgenic plants. These constructs may be administered to plants in a viral or plasmid vector. The biolistic process of transformation is preferred for practice of the present invention. Other methods of delivery such as Agrobacterium T-DNA mediated transformation and transformation using electroporation are also contemplated to be within the scope of the present invention. The transforming DNA may be prepared according to standard protocols such as those set forth in "Current Protocols in Molecular Biology", eds. Frederick M. Ausubel et al., John Wiley & Sons, 1995.

The term "genotype" refers to the individual genetic background of each maize variety. The genotype of each variant (e.g. BSSS53) in respect to seed methionine levels can be determined by its "HM or high methionine phenotype." The HM phenotype is recognized by the levels of 10-kDa zein mRNA in immature maize seeds and the 10-kDa zein protein in mature seeds. Once *dzrl* has been cloned, DNA finger printing methods can be used to correlate the molecular basis of a genotype with its corresponding phenotype. Two different genotypes that map to the same chromosomal location are referred to as "alleles." To distinguish between different *dzrl* alleles, the name of each variant is added (e.g. *dzrl*+BSSS53).

II. Description

In accordance with the present invention, a solution has been found to achieve genotype-independent overexpression of the 10-kDa zein gene. Unlike other methods using different promoters or modifying genes by codon usage, this invention modifies the 3'UTR of a gene,

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e.g., by replacing it. Therefore, the mRNA can no longer be negatively regulated by trans-acting factors present in many elite lines of corn. As a result of this discovery, novel DNA constructs for transforming plants with a 10-kDa zein gene have been made, which circumvent the function of the *dzrl* negative dominant allele. Transgenic plants comprising these novel constructs consistently express the 10-kDa transgene, even in the presence of the negative dominant *dzrl* allele.

As mentioned, the lower level of expression of the 10-kDa zein gene in maize variety Mo17, which carries a negative dominant *dzrl* allele, is due to mRNA accumulation rather than transcription. It has been discovered in accordance with this invention that, unexpectedly, *dzrl* influences the accumulation of the 10-kDa mRNA by presumably interacting with the 3'UTR of the mRNA, rather than the 5' regulatory sequences, as is usually the case, since modification of the 3'UTR in fertile transgenic corn plants leads to increased levels of 10-kDa zein protein. Without intending to be limited by any explanation of this phenomenon, it is believed that the allele-specific functionality of *dzrl* occurs by a mechanism outlined in Figure 1 and described below, using the HM phenotype of BSSS53 and the negative dominant allele of Mo17 as examples.

Because the transcription rates of 10-kDa zein genes of BSSS53 and Mo17 do not differ, but their steady-state levels of mRNA do, it has been proposed that either a cis- or trans-acting mechanism is responsible for the accumulation of 10-kDa zein mRNA during endosperm development. However, nucleotide sequences of 10-kDa mRNA from either BSSS53 or Mo17 are identical, making a cis-acting mechanism unlikely (Schickler et al., 1993).

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Genetic mapping experiments have confirmed that the difference between the two mRNA levels is due to a trans-acting mechanism of a single factor that maps in BSSS53 and Mo17 to the same chromosomal location, but which is different from the location of the 10-kDa zein gene (Chaudhuri and Messing, 1994). Therefore, we surmised that the difference in 10-kDa mRNA accumulation between BSSS53 and Mo17 is due to differences in the trans-acting factor encoded by the same gene. Genes encoding variant products are referred to as alleles. The *dzr1*+BSSS53 allele produces a factor that gives a HM phenotype, while the *dzr1*+Mo17 produces one that represses the HM phenotype. More importantly, *dzr1*+Mo17 is dominant over *dzr1*+BSSS53.

Although it is not yet fully understood how the *dzr1* factor causes the 10-kDa mRNA to accumulate at different levels, one explanation is that *dzr1* encodes an RNA-binding protein. If this is the case, then negative dominance by *dzr1*+Mo17 over *dzr1*+BSSS53 might imply that such a RNA-binding protein dimerizes prior binding to its target site. In homozygous BSSS53, *dzr1* and *dzs10* are both transcribed during endosperm development. The gene product of *dzr1*+BSSS53 would dimerize and bind to the 10-kDa zein mRNA, which would lead to increased accumulation of this mRNA. Increased accumulation of the 10-kDa zein mRNA would lead to more translation of the 10-kDa zein protein. The protein in turn captures more free methionine, resulting in an increased storage of methionine in mature seeds. However, when the *dzr1*+Mo17 product is made, it heterodimerizes with the *dzr1*+BSSS53 product. The heterodimer acts like the homodimer of *dzr1*+Mo17 and causes less 10-kDa zein mRNA to accumulate. As a result, less 10-kDa protein is produced and less

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methionine captured and stored in mature seed.

Thus, negative dominance indicates that the *dzrl* gene product possess at least two domains - one for binding to the 10-kDa mRNA and one for the protein-protein interaction leading to dimerization. Negative dominant allele-encoded homodimers, as well as heterodimers carrying at least one negative-dominant encoded subunit, would act as negative regulators of the 10-kDa zein gene, at the post-transcription level, by virtue of their interaction with the 10-kDa zein mRNA. Since *dzrl* has not been cloned yet, it is as yet unknown whether it encodes a RNA-binding protein, and its biochemical properties have not been tested.

However, cloning of *dzrl* is not a prerequisite for manipulating its function. Since we believe that its target is the 10-kDa mRNA, we can modify the primary sequence of the 10-kDa mRNA by modifying the 10-kDa gene. Such a synthetic 10-kDa zein gene is then introduced into the corn genome by DNA transformation methods and tested for its expression in different genotypes including those that carry a negative dominant allele of *dzrl*. Since the specific site(s) within the 10-kDa mRNA sequence recognized by the *dzrl* factor was unknown prior to the present invention, we selected to modify the 3'UTR of the 10-kDa mRNA as our first preference. To include as large a set of possible binding motifs of *dzrl* as possible, the 10 kDa zein gene has been completely modified by replacing the 3' UTR (SEQ ID NO:1) with the equivalent region from the CAMV 35S transcript which has been tested in maize protoplasts before (Wu et al., 1994). A preferred construct of this type is shown in Figure 2 and as SEQ ID NO:4 at the end of the specification. The coding region of the 10-kDa zein gene (SEQ ID NO:2) was

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operably linked to a 5' regulatory sequence of a 27-kDa zein gene (an exemplary sequence of which is SEQ ID NO:3), and to the 3' UTR of the CaMV 35S transcript. The chimeric gene was inserted into a plasmid that uses the maize ubiquitin promoter and the nos 3' UTR to express the bar gene, the expression of which confers resistance to the herbicide, "Basta" (Fromm et al., 1990; Gordon-Kamm et al., 1990; Christiansen and Quail, 1996).

As described in detail in the examples, a plasmid of this type has been used to transform immature embryos of an A188 X B73 hybrid, using the particle bombardment process. A188 demonstrates low expression of its endogenous 10-kDa zein gene, while B73 exhibits moderately low expression of the gene. Accordingly, a non-transformed A188 (paternal) X B73 (maternal) hybrid should show low accumulation of the endogenous 10-kDa zein. Phosphinotricin-resistant calli have been regenerated into fertile plants, which have either been selfed or backcrossed and grown to maturity. Single seeds have been used to isolate zein proteins for separation by polyacrylamide gel electrophoresis, and zein proteins visualized using specific antibodies. As shown in Figure 7, in comparison to the parental lines, including the B X A transgenic parent (lane 4), which are low in 10-kDa zein, all of the transgenic seeds exhibit considerable levels of the 10-kDa zein protein. Southern blot analysis of the transgenic plants (Figure 3) confirms the presence of both the selectable marker gene and the chimeric 10-kDa zein gene, consistent with their herbicide resistance and the accumulation of the 10-kDa zein protein in the mature endosperm. Progeny have been germinated and tested for Basta resistance by a leaf painting assay.

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Additional crosses with dominant negative alleles of *dzr1* failed to reduce 10-kDa zein levels, indicating an elimination of genetic variability of *dzs10* expression (Example 6). Transgenic plants were also used
5 as a replacement for synthetic methionine in an animal feeding trial with excellent results (Example 8).

Transgenic plants differ in their transgenes in three ways. First, independent events place transgenes in different locations of the genome. The so-called
10 position effect has been used to explain variability in transgene expression. We have not found any variability of 10-kDa zein protein in mature seed representing different transformation events, nor observed any gene silencing as described for other cases. Second,
15 independent events differed in the copy number of transgenes. The possibility exists that the higher the copy number the more 10-kDa protein would be made. That is not the case; protein levels are the same regardless of transgene copy number. Third, we have used constructs
20 with two different promoters (Example 7). The 27-kDa zein promoter is known to be a strong seed-specific promoter that is in contrast to the 22-kDa zein promoter not under the control of the b-zip transcription activator Opaque-2 (O2). However, the 27-kDa zein
25 promoter has a sequence motif in the same position as the 22-kDa promoter that resembles the recognition sequence for O2 except for two nucleotides. By using site-directed mutagenesis, we have shown that by repairing these two nucleotides O2 can bind to the 27-kDa promoter,
30 which we call the 27-kDa (O2) promoter (Ueda et al., 1992). Our chimeric gene constructs with the 10-kDa coding region included both 27-kDa promoters. We have tested the two promoters in transgenic corn by

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transcription run-on assays and found that transcription rates for the 27-kDa (O2) promoter were higher.

Therefore, we were able to compare transgenic seeds that differed in the strength of their promoters. Despite

5 different promoter strength, the levels of 10-kDa protein in mature seeds were the same.

All these data indicate that, by removing the negative dominance of *dzrl* and by changing promoter strength or gene copy number we find a saturation of

10 protein levels in the seed. The saturation levels of the 10-kDa protein are of important consequence for manipulating corn seed for industrial purposes. The saturation levels are best explained by the compartmentalization of the gene product. Storage

15 proteins are processed and deposited into protein bodies. Any excess of protein that is not deposited is degraded (Coleman et al., 1996; Bagga et al., 1997). Therefore, one can consider the protein body as a detoxification unit of the cell. It is known that overproduction of

20 free amino acids through the manipulation of amino acid biosynthesis causes detrimental symptoms to plant development (Ben-Tzvi et al., 1996). By capturing the free amino acids in proteins that are compartmentalized, these negative effects can be avoided. A similar example

25 exists with other compartments of the cell. Proteins containing a transit peptide are removed from the cytoplasm and transferred into the chloroplast. These proteins can be toxic if they remain in the cytoplasm, but do not cause any problems if they are correctly

30 deposited in the plastids (Nawrath et al., 1994). The efficient compartmentalization may also explain the lack of a position effect and gene silencing, which are frequently associated with variant levels or aberrant

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gene expression.

Thus, the chimeric 10-kDa zein genes of the present invention enable consistent expression of the 10-kDa zein in any transgenic plant, regardless of its *dzrl* allelic composition, by virtue of one critical feature: the native 3' UTR (SEQ ID NO:1) has been modified so that is not the target for *dzrl* regulation. This sequence is modified either by replacing it with another 3' UTR or by oligonucleotide site-direct mutagenesis in order to generate chimeric 10-kDa zein genes that are consistently highly expressed in transgenic plants containing them, and progeny thereof. Moreover, transgenic plants expressing these genes produce a predictable and stable amount of 10-kDa zein protein, essentially independent of position effect and transgene copy number.

Furthermore, though at present, *dzrl* is known to regulate only *dzs10*, it may be discovered that the product of this gene also exerts a regulatory effect on other mRNAs, via their 3' UTR target sequences. Accordingly, chimeras of these genes, wherein *dzrl* targets are modified to be a non-target, can also be constructed, and are expected to exhibit the same consistent levels of expression. Alternatively, chimeras containing the *dzrl* target can be used to down-regulate gene expression. This will be useful in instances where it is desired that a transgene is expressed in other parts of the plant, but not the one entering the food chain. Such an instance may occur if the transgene is a regulatory gene.

Chimeric 10-kDa zein genes of the present invention comprise a 10-kDa coding region, operably linked to native or synthetic 5' regulatory sequences, and modified 3' regulatory region. The coding region may

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comprise any high-methionine zein encoding sequence. In a preferred embodiment, the coding region of the 10-kDa zein described by Anderson Kirihara et al. (1988) is used. In alternative embodiments, the 18-kDa zein coding region described by Swarup et al. (1995) is used. In other embodiments, coding regions from other genes discovered to be regulated by *dzrl* may be used.

Any suitable 5' regulatory region may be used in the chimeric 10-kDa zein gene. For expression of the 10-kDa zein gene, a seed-specific promoter is preferred. In a preferred embodiment, the 27-kDa zein promoter is used. In a particularly preferred embodiment, the 27-kDa(O2) promoter is used. In another embodiment, the native 10-kDa promoter is used. Other useful promoters include, but are not limited to maize ubiquitin gene promoters, rice actin promoters, maize *Adh 1* promoter, rice or maize tubulin (*Tub A*, *B* or *C*) promoters, and alfalfa *His 3* promoter. The promoter may be an inducible promoter or one that drives constitutive expression of the gene.

Any suitable, non-native, 3' UTR may be used in the chimeric 10-kDa zein gene. In a preferred embodiment, the 3' UTR from the cauliflower mosaic virus 35S gene is used. In an alternative embodiment, the native 3' UTR may be used, but it must be modified (e.g., by site directed mutagenesis) such that the *dzrl* binding sites are removed or replaced, without altering the other regulatory features of the 3' UTR.

Transgenic plants can be generated using standard plant transformation methods known to those skilled in the art. These include, but are not limited to, biolistic DNA delivery (i.e., particle bombardment, *Agrobacterium* vectors, PEG treatment of protoplasts, UV

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laser microbeam, gemini virus vectors, calcium phosphate treatment of protoplasts, electroporation of isolated protoplasts, agitation of cell suspensions with microbeads coated with the transforming DNA, direct DNA uptake, liposome-mediated DNA uptake, and the like. Such methods have been published in the art. See, e.g., Methods for Plant Molecular Biology (Weissbach & Weissbach, eds., 1988); Methods in Plant Molecular Biology (Schuler & Zielinski, eds., 1989); Plant Molecular Biology Manual (Gelvin, Schilperoort, Verma, eds., 1993); and Methods in Plant Molecular Biology - A Laboratory Manual (Maliga, Klessig, Cashmore, Gruissem & Varner, eds., 1994).

The method of transformation depends upon the plant to be transformed. The biolistic DNA delivery method is useful for nuclear transformation of monocotyledenous plants, such as maize, and is preferred for practice of the present invention. Transformation of maize immature embryos using the biolistic method is described in detail in Example 2. In another embodiment of the invention, Agrobacterium vectors, particularly superbinary vectors such as described by Ishida et al. (Nature Biotechnology 14:745-750, 1996) are used for transformation of plant nuclei.

Using a biolistic delivery system for transformation, the chimeric gene is linked to a nuclear drug or herbicide resistance marker, such as hygromycin resistance or "Basta" resistance. Biolistic transformation of plant nuclei is accomplished according to the following general procedure:

(1) the gene is inserted into a selected vector;

(2) immature embryos are bombarded with the

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DNA;

(3) plant tissue is then transferred onto the selective medium to identify transformed tissue; and

(4) identified transformants are regenerated to intact fertile plants or are maintained as cultured cells.

Using the chimeric genes and transformation methods described above, transgenic maize plants are produced that express high quantities of the 10-kDa zein seed storage protein. This protein contains a high proportion of methionine codons (23%). Overexpression of this protein in maize seeds increases the capture of free methionine during plant maturation, which otherwise would be lost. Transgenic plants of the present invention are superior to natural high-methionine variants, such as BSSS53 because they consistently express the 10-kDa transgene regardless of the *dzrl* allelic composition of the variety. By contrast, combinations of natural HM variants with other germplasms produces a suppression of the high methionine phenotype, rendering the natural variant unreliable for use in commercial corn. Thus, the fertile, chimeric 10-kDa zein transgenic plants of this invention provide a distinct agronomic advantage over HM variants presently available.

As mentioned above, this invention also provides a 3' negative regulatory target of the *dzrl* gene product, as exemplified by SEQ ID NO:1. This sequence is expected to be useful for influencing gene expression by negative dominance or once the *dzrl* gene is cloned by modified *dzrl* factors.

One particularly attractive application for the 3' negative regulatory target of the *dzrl* gene product is seed-specific suppression of gene expression, where such

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suppression would be considered desirable. For instance, insect-resistant transgenic plants are currently being engineered by transforming the plants with the *Bt* gene, encoding the *Bacillus thuringiensis* insect toxin. For purposes of diffusing or dispelling negative sentiments regarding the safety of such transgenic plants, it may be desirable to reduce expression of the *Bt* gene in the seeds of the plants. This can be accomplished by operably linking the coding sequence of the gene to the 3' negative regulatory target of the *dzr1* gene product. Such a construct would then be subject to dominant negative regulation by *dzr1* in seeds of the transgenic plants. The mRNA encoding the *Bt* protein would be degraded in the endosperm (but not in the other plant parts) and the seeds would remain largely free of *Bt* toxin.

The following specific examples are provided to illustrate embodiments of the invention. They are not intended to limit the scope of the invention in any way.

EXAMPLE 1

Construction of Plasmid pJM2710

The 27-kDa zein promoter was made by cloning of the 1103 bp *PvuI* fragment of the 5' flanking sequence of the 27-kDa zein genomic clone, stretching from position -1042 to +61 in respect to the transcriptional start site of the gene as described before (Ueda, T., Messing, J. 1991, Ueda, T. et al, 1994). The 10-kDa zein coding region was made by cutting the 10-kDa genomic clone p10H3 from maize inbred line BSSS53 (Anderson Kirihara, J., Petri, J. and Messing J., 1988) with *NcoI* and *XbaI*. This fragment was inserted into the pFF plasmid together with

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the 203 bp CamV 35S 3' polyA sequence (Timmermans, M., Maliga P., Vieira J., Messing J., 1990, Journal of Biotechnology). The resulting plasmid pJM2710 contains three restriction fragments flanked by HindIII sites: the 27-kDa promoter (1103 bp), the 10-kDa coding region (465 bp), and the 35S 3'UTR (203 bp). This 1,771 bp HindIII fragment was then inserted into the HindIII site of the transformation vector pUbi-bar by cutting with EcoRI, HindIII and using an adaptor with an EcoRI-NotI-HindIII site. The final plasmid is as shown in Figure 2.

EXAMPLE 2

Transformation of Maize with Plasmid pJM2710

Immature embryos with a length of 1.0-2.0 mm were harvested from the maize Hi-II hybrid (parents were obtained from the maize stock center) 14 days after pollination under the sterile condition. Embryos were put on to solidified N6 agar medium supplemented with 1-5 Fg/ml of 2,4-D and then cultured in the dark at 26⁰C.

Five to seven days after incubation on N6 medium, the embryos were transformed by the particle bombardment method. A Du Pont Biolistic PDS 1000/HE instrument was used for these transformations. The particle samples were coated with 50 Fl of a (50mg/ml) 1 mm gold particle suspension containing 5-10 Fg purified plasmid DNA and 20 Fl of 0.1 M freshly prepared spermidine and 50 Fl of 2.5 M CaCl². The DNA-coated particles were precipitated in ethanol, then washed three times and finally resuspended in 30 Fl of anhydrous ethanol. Six Fl of a particle suspension were loaded on a macro carrier. For the bombardment, the membrane rupture pressure was set at 1,300 psi and a 15 mm petri dish with 20 to 30 embryos were put into the chamber 9 cm

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from the retaining screen and shot twice.

One to two weeks after bombardment, the embryos were transferred to fresh N6 medium with 3 Fg/mL Bialaphos for selection and then kept subcultured every two weeks. After two to three month of selection, resistant calli were grown either for further propagation or regeneration.

Plants were regenerated by placing the resistant calli on regeneration medium (MS or N6, with 2,4-D) under light condition. Multiple plantlets were regenerated from each independent transgenic callus and either selfed, backcrossed to their nontransgenic parents, or outcrossed to another inbred line.

15

EXAMPLE 3

Southern Blot Analysis of Transgene Integration

Leaves of primary transformants were collected. DNAs were extracted in CTAB buffer and digested with EcoRI. The resulting fragments were separated by an 1% agarose gel and transferred to a membrane (Amersham Hybond N). The blot was probed with DNA fragments either representing the 10-kDa zein coding region or the selectable marker gene containing the bar coding region (Pharmacia Labeling Kit). Figure 3 shows a band of 3.4 kb of the 10-kDa gene and a 1.4 kb fragment of the bar gene in the transgenic plants but not in the nontransgenic control. For the blot probed with the 10-kDa gene, there is a endogenous 10-kDa gene in the upper part of the blot which serves as an internal copy number control. The copy number of the transgene varies between 1 to about 15.

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EXAMPLE 4**Testing pJM2710 Transgenic Maize Plants for
Expression of the 10-kDa Zein Transgene**

5 Mature kernels from sib-crosses and backcrosses
to the nontransgenic parents of different transgenic
plants were ground to a fine powder and total zeins were
extracted in 70% ethanol containing 1% 2-mercapto-
enthanol. Based on Peterson's assay for proteins
10 (Peterson, 1977), 2 Fg of total zeins were separated on a
15% SDS polyacrylamide gels and subjected to Western blot
analysis according to Chaudhuri and Messing (1994), using
10-kDa antibody. Figure 7 shows the relative level of
10-kDa expression in transgenic and nontransgenic seeds
15 of inbreds Mo17, A654 and BSSS53.

EXAMPLE 5**Inheritance of Transgene and Segregation
Analysis by a Leaf Painting Assay**

20 Mature seeds of sib-crosses and backcrosses
from the primary transgenic plants were grown in the
field. Second generation analysis of the transgene was
performed by following the herbicide tolerance conferred
25 by the selectable marker gene. Expression of this gene
was analyzed by applying the herbicide Basta TX (2% v/v,
with 0.1% Triton X-100) on small leaf sections.
Herbicide resistance was scored six days after
application. The resistant plants have leaves as
30 vigorous as untreated plants while the susceptible plants
show yellowish and friable leaves. Segregation of
transgenic and nontransgenic plants occurs in an outcross
with A654 at about 1:1 and in a sib-cross at about 3:1.

35

EXAMPLE 6**Elimination of Negative Regulation**

RNA was isolated from immature endosperm of the following plants, then subjected to Northern blot analysis using a 10-kDa zein gene probe: BSSS53, Mo17, a transgenic line, BSSS53 X transgenic line, Mo17 X transgenic line, and Mo17 X BSSS53. Results are shown in Figure 4. As can be seen, when Mo17 is used as a female with a cross of BSSS53, RNA levels are reduced compared with a cross of Mo17 pollinated with the transgenic line. Crosses with the transgenic line using BSSS53 as the female do not display any difference in 10-kDa mRNA accumulation, as compared to the transgenic line itself.

Storage proteins were isolated from mature seeds and separated by polyacrylamide gel electrophoresis (PAGE). Proteins were blotted and visualized with a 10-kDa zein-specific polyclonal antibody. Cross reaction of the antibody with other zeins served as an internal control.

Figure 5 shows the relative level of 10-kDa protein produced. As can be seen, the transgenic parent exhibited high level expression of the 10-kDa protein, while Mo17, which carries the negative dominant *dzr1* allele produced significantly less 10-kDa zein protein. BSSS53, which lacks the negative dominant allele and is a natural overexpresser of the 10-kDa zein gene, exhibited 10-kDa zein protein production similar to the transgenic parent. The hybrid line, when Mo17 was used as a female parent with BSSS53, exhibited a reduction of expression to the level observed for the Mo17 line. In the reciprocal cross when BSSS53 was used as the female parent, the negative dominant effect was not seen because of genomic imprinting (Chaudhuri and Messing, 1994) and

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protein levels were comparable to those displayed by the transgenic parent and the BSSS53 line. In the reciprocal crosses of the transgenic line with Mo17, 10-kDa protein expression levels were high regardless of the direction of the cross, as predicted from the Northern blot analysis described above.

EXAMPLE 7

Transcription Rates of 27-kDa and 27-kDa (O2) Promoters in Transgenic Corn

Transcription run-on assays were performed with two constructs that differed by two nucleotides in position -225 and -226, where two T's were replaced by two C's (construct #1 bold and underlined). The sequence TCCACAGTAGA (part of construct #2, SEQ ID NO:6) is the canonical binding site for Opaque-2 (O2). We have shown previously by DNA binding assay that O2 binds to the mutated site and that expression of O2 in protoplasts of cultured maize cells leads to a stronger transcription of the 27-kDa (O2) promoter (Ueda et al., 1992). The upstream TGTAAG motif (also bolded and underlined) is the so called prolamin box (PB) which is present in all zein promoters and believed to be a *cis*-acting site for a general transcription factor (Ueda et al., 1994).

Construct #1 (SEQ ID NO:5) (27-kDa zein promoter region, -349 to -217):

5'-ATATTGCATTACAAAGATCGTTTCATGAAAAATAAAATAGGCCGGACAGGACA
AAAATCCTTGACGTGTAAAGTAAATTTACAACAAAAAAGCCATATGTCAAGCTA
AATCTAATTCGTTTTACGTAGAT-3'

Construct #2 (SEQ ID NO:6) (27-kDa (O2) zein promoter region, -248 to -217):

5'-TCAAGCTAAATCTAATTCGTTCCACGTAGAT-3'

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Transcription rates of transgenic plants that were either selfed or crossed with Mo17 as the female were measured at 18 days after pollination when zein gene expression is very high. Nuclei were isolated and
5 labeled as described previously (Cruz-Alvarez et al., 1991; Schickler et al., 1993). Labeled RNA was hybridized to a probe (a) of the endogenous 10-kDa zein gene 3'UTR, (b) the chimeric 10-kDa zein gene 3'UTR (transgene), and (c) the 15-kDa zein.

10 Results are shown in Figure 6. In all three plants the internal promoters of the 10-kDa and the 15-kDa zein (control) gene showed similar transcription levels. However, transcription levels of construct #2 were higher than construct #1, consistent with the fact
15 that O2 gives rise to transcriptional activation.

Storage proteins were isolated from mature seeds and separated by polyacrylamide gel electrophoresis (PAGE). Proteins were blotted and visualized with a 10-kDa zein-specific polyclonal antibody. Cross reaction of
20 the antibody with other zeins served as an internal control.

Results are shown in Figure 7. A654, a line carrying a null mutation of the internal 10-kDa zein gene, showed no 10-kDa zein production. Both Mo17, which
25 carries the negative dominant *dzr1* allele, and the hybrid parental line that was used for the transformation displayed minimal 10-kDa zein production. In contrast, the BSSS53 that lacks the negative dominant allele and is a natural overexpresser of the 10-kDa zein gene produced
30 a significant amount of the protein, as did transgenic plants transformed with genes controlled by either construct #1 or construct #2.

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EXAMPLE 8
Feeding Trial

A feeding trial was conducted with 2-day-old
5 chickens as described previously (Messing and Fisher,
1991). Three groups were fed three types of corn-based
diets. In one case, the inbred that serves as a host for
transformation experiments was used without methionine
supplements (control group) and in the other with
10 methionine supplements (normal group). The third group
of animals also did not receive any methionine
supplements but the meal from transformed corn containing
the transgene of construct#1 (transgenic group). Weight
gained during the feeding trial was expressed as
15 percentage of additional weight over the control group.

Results are shown in Figure 8. The percentage
of weight gain in the normal and the transgenic group
over the control group was comparable, possibly with a
faster gain in the transgenic group. This clearly
20 indicates that the transgenic corn completely replaces
the supplemented methionine.

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While certain of the preferred embodiments of the present invention have been described and specifically exemplified above, it is not intended that
30 the invention be limited to such embodiments. Various modifications may be made thereto without departing from the scope and spirit of the present invention, as set forth in the following claims.

We claim:

1. A DNA construct encoding a δ -zein, comprising a δ -zein coding sequence operably linked to a promoter and to a sequence encoding a modified 3' untranslated region (UTR), the 3' UTR being modified so as to be devoid of binding sites for a dzrl negative regulatory protein.

2. The DNA construct of claim 1, wherein the modified 3' UTR is produced by replacing the sequence encoding the dzrl binding site-containing 3'UTR with a heterologous sequence encoding a 3' UTR devoid of said binding sites.

3. The DNA construct of claim 2, wherein the heterologous sequence is a 3' UTR-encoding sequence from a cauliflower mosaic virus 35S gene.

4. The DNA construct of claim 1, wherein the modified 3' UTR is produced by site-directed mutagenesis of sequences encoding the binding sites.

5. The DNA construct of claim 1, wherein the δ -zein coding region encodes a δ -zein selected from the group consisting of a 10 kDa zein and an 18 kDa zein.

6. The DNA construct of claim 1, wherein the promoter is a seed-specific promoter.

7. The DNA construct of claim 1, wherein the promoter is selected from the group consisting of a 27 kDa zein gene promoter, a 27 kDa (O2) zein gene promoter, a 10 kDa zein gene promoter and an 18 kDa zein gene promoter.

8. A vector for transforming a plant cell, comprising the DNA construct of claim 1.

5 9. A plant cell transformed with the vector of claim 8.

10 10. A fertile, transgenic plant regenerated from the transformed cell of claim 9.

11. A method of making high methionine corn seeds comprising the steps of:

- 15 a) producing a fertile transgenic corn plant expressing the DNA construct of claim 1;
 b) growing the plant; and
 c) harvesting seeds from the plant.

12. A chimeric gene encoding a 10 kDa zein, comprising a 10 kDa zein coding region operably linked at its 5' end to a promoter, and to its 3' end to a heterologous 3' UTR.

13. The chimeric gene of claim 12, in which the promoter is selected from the group consisting of a 27 kDa zein gene promoter, a 27 kDa (O2) zein gene promoter, a 10 kDa zein gene promoter and an 18 kDa zein gene promoter.

15. The chimeric gene of claim 13, comprising a 10 kDa zein coding region operably linked to a 27 kDa zein gene promoter and a CaMV 35S gene 3' UTR.

15. A vector comprising the chimeric gene of claim 14.

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16. The vector of claim 15, which is pJM2710.

17. A fertile transgenic corn plant which expresses the chimeric gene of claim 13.

5

18. A method of making high methionine corn seeds comprising the steps of:

- a) producing a fertile transgenic corn plant expressing the chimeric gene of claim 11;
- b) growing the plant; and
- c) harvesting seeds from the plant.

10

19. An isolated nucleic acid comprising a 3' untranslated region of a 10-kDa zein gene.

15

20. The nucleic acid of claim 19, having SEQ ID NO:1.

21. A chimeric gene comprising a coding sequence operably linked to a promoter and the 3' untranslated region of claim 19.

20



INTERNATIONAL APPLICATION PUBLISHED UNDER THE PATENT COOPERATION TREATY (PCT)

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KE, KG, KP, KR, KZ, LC, LK, LR, LS, LT, LU, LV, MD,
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DE, DK, ES, FI, FR, GB, GR, IE, IT, LU, MC, NL, PT,
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With international search report.

(54) Title: COMPOSITIONS AND METHODS FOR PRODUCING HIGH-LEVEL SEED-SPECIFIC GENE EXPRESSION IN CORN

(57) Abstract

The present invention provides novel DNA constructs encoding high methionine zein proteins, the expression of which is not negatively regulated by the *dzrl* regulatory protein. The constructs of the invention comprise a δ -zein coding region operably linked to a promoter and a 3' UTR which has been modified so as to be devoid of any binding sites for the *dzrl* regulatory protein. Preferably, the entire 3' UTR is replaced by a heterologous sequence that does not contain any *dzrl* binding sites. Transgenic corn plants comprising the DNA constructs of the invention are also provided. These plants consistently produce high methionine corn seeds.

SEQUENCE LISTING

<110> Messing, Joachim
Lai, Jinsheng

<120> Compositions and Methods for Producing
High-Level Seed-Specific Gene Expression in Corn

<130> 99-0002 PCT

<150> US 60/098,034

<151> 1998-08-27

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1/8

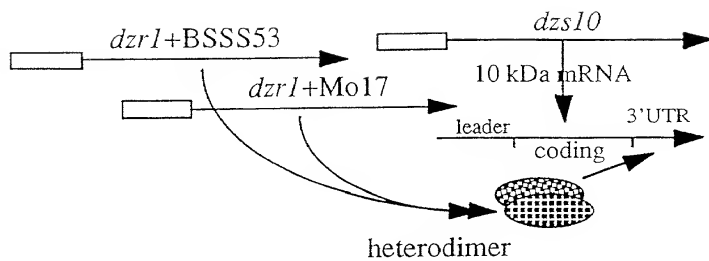


FIGURE 1

Figure 2

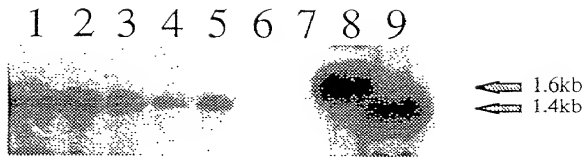


FIGURE 3

4/8

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Figure 4

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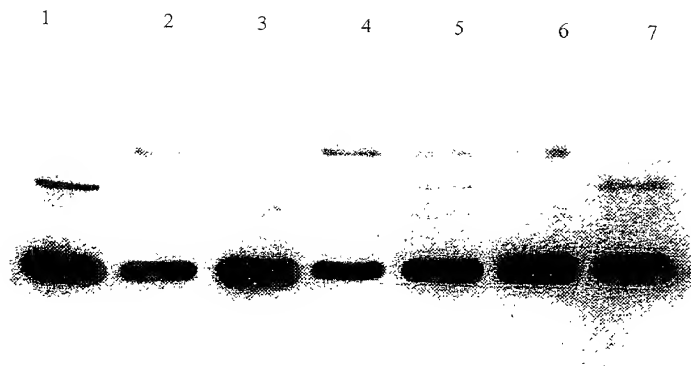


Figure 5

6/8

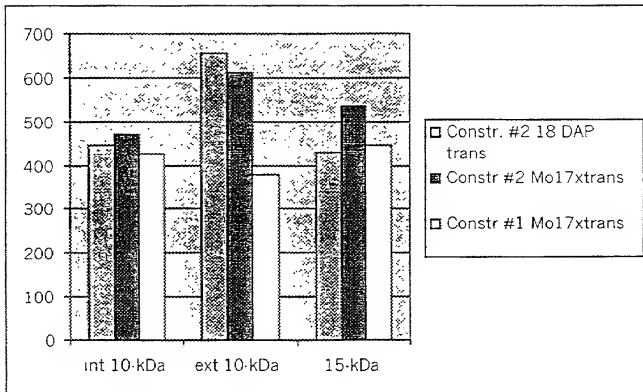


Figure 6

7/8

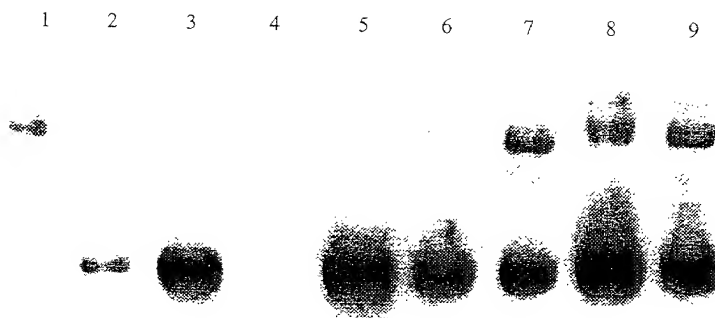


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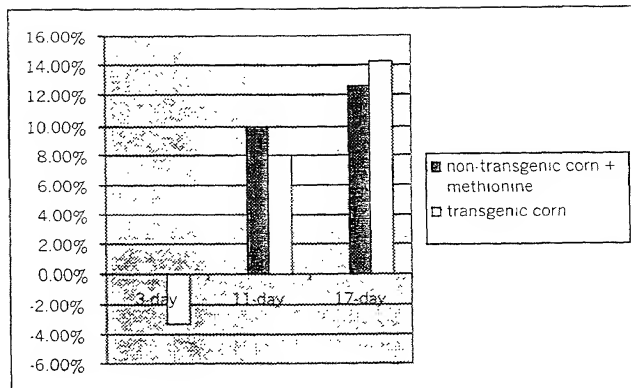


Figure 8

#3

Practitioner's Docket No. 13259-00016

PATENT

COMBINED DECLARATION AND POWER OF ATTORNEY**(ORIGINAL, DESIGN, NATIONAL STAGE OF PCT, SUPPLEMENTAL, DIVISIONAL,
CONTINUATION, OR C-I-P)**

As a below named inventor, I hereby declare that:

TYPE OF DECLARATION

This declaration is for a national stage of PCT application.

INVENTORSHIP IDENTIFICATION

My residence, post office address and citizenship are as stated below, next to my name. I believe that I am an original, first and joint inventor of the subject matter that is claimed, and for which a patent is sought on the invention entitled:

TITLE OF INVENTION

Compositions and Methods for Producing High-Level Seed-Specific Gene Expression in Corn

SPECIFICATION IDENTIFICATION

The specification was described and claimed in PCT International Application No. US99/20308 filed on August 25, 1999.

ACKNOWLEDGMENT OF REVIEW OF PAPERS AND DUTY OF CANDOR

I hereby state that I have reviewed and understand the contents of the above-identified specification, including the claims, as amended by any amendment referred to above.

I acknowledge the duty to disclose information, which is material to patentability as defined in 37, Code of Federal Regulations, Section 1.56.

PRIORITY CLAIM (35 U.S.C. Section 119(a)-(d))

I hereby claim foreign priority benefits under Title 35, United States Code, Section 119(a)-(d) of any foreign application(s) for patent or inventor's certificate or of any PCT international application(s) designating at least one country other than the United States of America listed below and have also identified below any foreign application(s) for patent or inventor's certificate or any PCT international application(s) designating at least one country other than the United States of America filed by me on the same subject matter having a filing date before that of the application(s) of which priority is claimed.

Such applications have been filed as follows.

**PRIOR PCT APPLICATION(S) FILED WITHIN 12 MONTHS
(6 MONTHS FOR DESIGN) PRIOR TO THIS APPLICATION
AND ANY PRIORITY CLAIMS UNDER 35 U.S.C. SECTION 119(a)-(d)**

INDICATE IF PCT	APPLICATION NUMBER	DATE OF FILING DAY, MONTH, YEAR	PRIORITY CLAIMED UNDER 35 U.S.C. SECTION 119
PCT	US99/20308	25 August 1999	yes

CLAIM FOR BENEFIT OF PRIOR U.S. PROVISIONAL APPLICATION(S)
(35 U.S.C. Section 119(e))

I hereby claim the benefit under Title 35, United States Code, Section 119(e) of any United States provisional application(s) listed below:

PROVISIONAL APPLICATION NUMBER

60/098,034
60/137,836

FILING DATE

August 27, 1998
June 7, 1999

POWER OF ATTORNEY

I hereby appoint the following practitioner(s) to prosecute this application and transact all business in the Patent and Trademark Office connected therewith.

APPOINTED PRACTITIONER(S)

Janet E. Reed

Scott E. Scioli

Alfred W. Zaher

Bruce E. George

REGISTRATION NUMBER(S)

36,252

47,930

42,248

43,631

I hereby appoint the practitioner(s) associated with the Customer Number provided below to prosecute this application and to transact all business in the Patent and Trademark Office connected therewith.

SEND CORRESPONDENCE TO

DIRECT TELEPHONE CALLS TO:

Janet E. Reed
215-972-8386

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Philadelphia, PA 19102
US

DECLARATION

I hereby declare that all statements made herein of my own knowledge are true and that all statements made on information and belief are believed to be true; and further that these statements were made with the knowledge that willful false statements and the like so made are punishable by fine or imprisonment, or both, under Section 1001 of Title 18 of the United States Code, and that such willful false statements may jeopardize the validity of the application or any patent issued thereon.

SIGNATURE(S)

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